



FINANCIAL PROFILE

PERSONAL DATA - CLIENT INFORMATION

Primary Client Name:	Birthdate:	Social Security No.:
Joint Client Name/Spouse:	Birthdate:	Social Security No.:
Legal Address:		
Mailing Address (If different from above):		
Primary Phone:	<input type="checkbox"/> Home <input type="checkbox"/> Cell <input type="checkbox"/> Work	Primary Email Address:
Primary Client's Occupation:	Employer:	
Business Address:		
Joint Client's/Spouse's Occupation:	Employer:	
Business Address:		

PERSONAL DATA - CHILDREN, PARENTS, OR DEPENDANTS

Name	Birthdate	Relationship	Note (Health, Educ., Fin'l)



ADVISORS

Accountant's Name:	Firm Name:
Mailing Address:	
Phone:	Email Address:
Estate Attorney's Name:	Firm Name:
Mailing Address:	
Phone:	Email Address:
Please check all documents currently in force:	
<input type="checkbox"/> Trust	<input type="checkbox"/> Healthcare Directive
<input type="checkbox"/> Durable Power of Attorney	<input type="checkbox"/> Last Will and Testament
Name of Trust:	Date of Trust:

INSURANCE

Please check all policy types currently in force:

<input type="checkbox"/> Health (H)	<input type="checkbox"/> Disability (D)	<input type="checkbox"/> Liability (LB)
<input type="checkbox"/> Long Term Care (LTC)	<input type="checkbox"/> Life (LF)	<input type="checkbox"/> Umbrella (U)

Insured	Carrier	Type	Annual Premium	Benefit



SOURCES OF INCOME

Earned Income

	Monthly	or	Annually
Salary (Primary Client).....	_____		_____
Salary (Joint Client)	_____		_____
Self-Employment (Primary Client).....	_____		_____
Self-Employment (Joint Client).....	_____		_____
Social Security (Primary Client).....	_____		_____
Social Security (Joint Client)	_____		_____
Alimony.....	_____		_____
Child Support	_____		_____
Other	_____		_____

Income from Retirement/Investment

	Monthly	or	Annually
Savings & Investment Withdrawals	_____		_____
Interest & Dividends	_____		_____
Municipal Bonds Interest & Dividends	_____		_____
Rental/Investment Property	_____		_____
Pensions	_____		_____
IRA Distributions (Primary Client).....	_____		_____
IRA Distributions (Joint Client).....	_____		_____
401(k), TSA Distributions (Primary Client).....	_____		_____
401(k), TSA Distributions (Joint Client).....	_____		_____
Other	_____		_____

TOTAL INCOME:



TAXES

<u>Income Taxes</u>	Monthly	or	Annually
Federal Income Tax	_____		_____
State Income Tax	_____		_____
FICA (Primary Client).....	_____		_____
FICA (Joint Client).....	_____		_____
Self-Employment Tax (Primary Client).....	_____		_____
Self-Employment Tax (Joint Client).....	_____		_____
TOTAL TAXES:			

- Tax Filing Status**
- Single
 - Married (Filing Jointly)
 - Surviving Spouse
 - Married (Filing Separately)
 - Head of Household

Tax Details

Other Income Adjustments (+) or Loss (-)	_____
Total Itemized Deductions	_____
Capital Loss Carry – Forward (if applicable)	_____
Number of Exemptions	_____
Federal Tax Bracket (%)	_____

BALANCE SHEET

<u>Cash & Cash Equivalents</u>	Market Value
Cash and Checking Accounts	_____
Savings, CDs, and Money Market Funds	_____
Other: _____	_____

Investments

T-Bills and Government Bonds	_____
Municipal Bonds/Funds	_____
Corporate Bonds/Income Mutual Funds	_____
Common or Preferred Stock	_____
Mutual Funds	_____
IRA, SEP, Simple, TSA, ROTH	_____
Corporate Qualified Plans (Vested)	_____
Other: _____	_____



BALANCE SHEET Continued...

Insurance Cash Values & Annuities

Life Insurance Cash Value – Fixed _____
 Life Insurance Cash Value – Variable _____
 Annuities – Fixed _____
 Annuities – Variable _____
 Other: _____

Real Estate & Other Property

Market Value

Liabilities

Residence	_____	_____
Rental Property	_____	_____
Automobiles	_____	_____
Recreational Property	_____	_____
Other: _____	_____	_____

Unsecured Loans

Credit Cards _____
 Student Loans _____
 Other: _____

Less Common Assets

Notes and Mortgages Receivable	_____	_____
Undeveloped Land	_____	_____
Real Estate Partnerships	_____	_____
Equipment Lease, R&D, and Tax Shelters	_____	_____
Business Interest	_____	_____
Agriculture and Ranching	_____	_____
Art, Antiques, Stamps, and Gems	_____	_____
Gold, Silver and Coins	_____	_____
Commodities/Options	_____	_____
Other: _____	_____	_____

TOTAL NET WORTH: